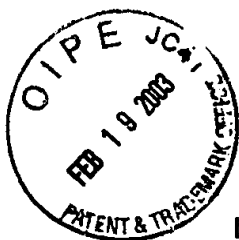




MIDDLETON  
REUTLINGER

2500  
Brown & Williamson  
Tower  
Louisville, Kentucky  
40202  
502.584.1135  
502.561.0442 fax  
www.middreut.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Washington, D.C., United States of America

In re Application of: Sprick, William D.

Serial No.: 09/933,919

Filed: 08/21/2001

For: CLOSURE HAVING AN  
IMPROVED THREAD DESIGN

Examiner:

Group Art Unit: 3727

Atty. Docket: CG-614 CIP

#5  
3/5/03  
Bloss

---

INFORMATION DISCLOSURE STATEMENT UNDER 1.97(B)

---

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir/Madam:

In accordance with 37 CFR §1.56, the references listed below are brought to the attention of the examiner for consideration in connection with the examination of the above identified patent application. A copy of the cited references is enclosed.

**U.S. REFERENCES CITED:**

Patent No.	Inventor	Date
4,381,840	Ostrowsky, E.M.	05/03/1983

**FOREIGN REFERENCES CITED:**

Publication No.	Applicant	Date
GB 2,166,422 A	Sunbeam Plastics Corporation (USA-Indiana)	05/08/1986

MIDDLETON  
REUTLINGER

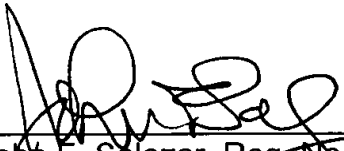


Application of Sprick, William  
Serial No.: 09/933,919  
Atty. Dkt. No. CG-614 CIP  
Page 2

**Certification Under 37 CFR §1.97(b)**

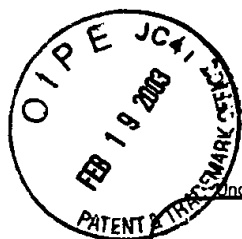
This information is submitted before a first Office Action on the merits;  
as such, no fee is due for its submission.

Respectfully submitted,

  
\_\_\_\_\_  
John F. Salazar, Reg. No. 39,353  
MIDDLETON REUTLINGER  
2500 Brown & Williamson Tower  
Louisville, Kentucky 40202  
Tel: (502) 584-1135

Date: February 10, 2003

Encl: Form PTO/SB/21  
PTO/SB/08A (including a copy of the references)  
Return postcard for evidencing receipt of above items



3727

PTO/SB/21 (01-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

09/933,919

Filing Date

08/21/2001

First Named Inventor

Sprick, William D.

Art Unit

3727

Examiner Name

Attorney Docket Number

CG-614 CIP

### ENCLOSURES (Check all that apply)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)  | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                                    | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Preliminary Amendment/Reply                         | <input type="checkbox"/> Petition  | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application            | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                            | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer   | Cover letter   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund  | PTO/SB/08A; References   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                   | Postcard   |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | <div>Remarks</div> <div>Total number of pages listed above excludes references</div> |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |  |  |

RECEIVED

FEB 21 2003

TECHNOLOGY CENTER

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual

John F. Salazar, Reg. No. 39,353

Signature

Date

February 10, 2003

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 2-11-2003

Typed or printed

Pamela J. Hogan

Signature

*Pamela J. Hogan*

Date

2-11-2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.